

FC/PM PTO-1290 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 2-2005)		ATTORNEY'S DOCKET NUMBER <b>290.0059 0101</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES</b> <b>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b> <b>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) Unknown <b>10/538820</b>
INTERNATIONAL APPLICATION NO. <b>PCT/US 2003/039516</b>	INTERNATIONAL FILING DATE <b>12 December 2003</b>	PRIORITY DATE CLAIMED <b>13 December 2002</b>
TITLE OF INVENTION <b>METHODS AND VECTORS FOR MAKING KNOCKOUT ANIMALS</b>		
APPLICANT(S) FOR DO/EO/US <b>Paul COLLODI et al.</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> <li>11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> </ol> <p><b>Items 13 to 23 below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>17. <input type="checkbox"/> A substitute specification.</li> <li>18. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li>20. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li>21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</li> <li>22. <input checked="" type="checkbox"/> Express Mail Label No. <b>EV 201 891 919 US</b></li> <li>23. <input checked="" type="checkbox"/> Other items or information:</li> </ol> <p><b>Item ized return-stamped postcard, Transmittal Letter (1 p); ADS (2 p); authorization to charge DEPOSIT ACCOUNT NO. 13-4895 for \$805.00 for US Natl Stage Filing Fee.</b></p>		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.5) <b>10/538820</b>		INTERNATIONAL APPLICATION NO. <b>PCT/US 2003/039516</b>		ATTORNEY'S DOCKET NUMBER <b>290.0059 0101</b>	
The following fees are submitted:				<b>CALCULATIONS</b>	<b>PTO USE</b>
24. <input checked="" type="checkbox"/> Basic national fee		<b>\$300</b>		<b>\$ \$300.00</b>	
25. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). All other situations.		<b>\$100</b> <b>\$200</b>		<b>\$ \$200.00</b>	
26. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority International Search Report prepared and provided to the Office All other situations.		<b>\$100</b> <b>\$400</b> <b>\$500</b>		<b>\$ \$100.00</b>	
<b>TOTAL OF 24, 25 and 26 =</b>				<b>\$ \$600.00</b>	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE		
- 100 =	0	/50 =	0	x \$250.00	<b>\$ \$0.00</b>
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				<b>\$ \$130.00</b>	
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total claims	24 - 20 =	4	x \$50.00	<b>\$ \$200.00</b>	
Independent claims	6 - 3 =	3	x \$200.00	<b>\$ \$600.00</b>	
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00				<b>\$ \$0.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ \$1,530.00</b>	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				<b>\$ \$765.00</b>	
<b>SUBTOTAL =</b>				<b>\$ \$765.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				<b>\$ \$0.00</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$ \$765.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				<b>\$ \$40.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ \$805.00</b>	
				<b>Amount to be</b>	<b>\$</b>
				<b>Amount to be</b>	<b>\$</b>

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

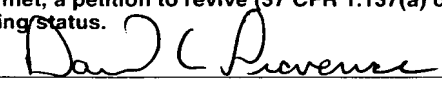
b. ☒ Please charge my Deposit Account No. **13-4895** in the amount of **\$805.00** to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **13-4895**. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.**

SEND ALL CORRESPONDENCE TO:  
**MUETING, RAASCH & GEBHARDT**  
**ATTN: David L. PROVENCE**  
**P.O. Box 581415**  
**Minneapolis, Minnesota 55458-1415**  
**US**

  
 SIGNATURE  
**David L. PROVENCE**  
 NAME  
**43,022**  
 REGISTRATION NUMBER

JC09 Rec'd PCT/PTO 13 JUN 2005

Applicant(s): Paul COLLODI et al.  
Docket No.: 290.0059 0101  
Title: METHODS AND VECTORS FOR MAKING KNOCKOUT ANIMALS

MAIL STOP PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**U.S. NATIONAL STAGE PATENT APPLICATION**

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ An itemized return postcard.
- ☒ Transmittal Letter to the United States DO/EO/US Concerning a Filing Under 35 U.S.C. 371 (Form PTO-1390) (2 signed copies, 2 pgs each)
- ☒ Application Data Sheet (2 pgs).
- ☐ Formal Drawings (   figs on    sheets)
- ☒ An Assignment of the invention to PURDUE RESEARCH FOUNDATION and Recordation Form Cover Sheet (3 pgs total).
- ☒ Other: Preliminary Amendment (3 pgs) Copy of International Search Report; Copy of International Preliminary Examination Report
- ☒ Please charge DEPOSIT ACCOUNT NO. 13-4895 in the amount of \$ 805 to pay the filing fees.

☒ **Small Entity Status is entitled to be asserted in the above-identified application.**

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

MUETING, RAASCH & GEBHARDT, P.A.

Customer Number: 26813

By: David L. Provence  
Name: David L. Provence  
Reg. No.: 43,022  
Direct Dial: 612-305-1005  
Facsimile: 612-305-1228

**CERTIFICATE UNDER 37 CFR §1.10:**

"Express Mail" mailing label number: EV 201 891 919 US

Date of Deposit: 13 JUNE 2005

I hereby certify that the Transmittal Letter and the paper(s) and/or fee(s), as described hereinabove, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: Kelly J. McNulty  
Printed Name: Kelly J. McNulty

(SMALL ENTITY TRANSMITTAL UNDER RULE 1.10)